

**PATENT**

Case Docket No. SAEG116.002AUS

Date: October 6, 2003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : HAYASHI, et al.  
Appl. No. : 10/618,491  
Filed : July 11, 2003  
For : METHOD FOR PRODUCING  
DIOL DERIVATIVES  
Examiner : Unknown  
Group Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 6, 2003

(Date)

Katsuhiro Arai, Reg. No. 43,315

**TRANSMITTAL LETTER**

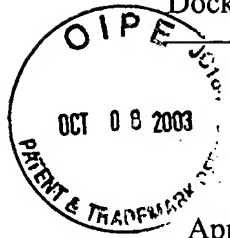
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with four (4) references.
- (X) A copy of the International Search Report issued on March 7, 2001.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Katsuhiro Arai  
Registration No. 43,315  
Agent of Record  
Customer No. 20,995  
(949) 760-0404

**INFORMATION DISCLOSURE STATEMENT**

Applicant : HAYASHI, et al.  
App. No. : 10/618,491  
Filed : July 11, 2003  
For : METHOD FOR PRODUCING DIOL  
DERIVATIVES  
Examiner : Unknown  
Group Art Unit : Unknown

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing four references that are also enclosed.

This Information Disclosure Statement is being filed with an RCE or within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: October 6, 2003

By: 

Katsuhiro Arai  
Registration No. 43,315  
Agent of Record  
Customer No. 20,995  
(949) 760-0404

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
SAEG116.002AUSAPPLICATION NO.  
10/618,491

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
HAYASHI, et al.FILING DATE  
July 11, 2003GROUP  
Unknown**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	3,859,349	01/07/75	Nicholas Francis Cody			
	2						
	3						
	4						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	5	WO 99/19378	04/22/99	WIPO				
	6	WO 01/72736	10/04/01	WIPO				
	7	EP 1 273 579 A1	01/08/03	Europe				
	8							
	9							
	10							
	11							

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	12	
	13	
	14	
	15	
	16	

H:\DOCS\KOA\KOA-9073.DOC  
100603

EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.